PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Michael E. Landry and

Larry T. Khoo

Serial No.: 10/698,046

Filed: October 30, 2003

For: SPINAL STABILIZATION SYSTEM

USING FLEXIBLE MEMBERS

Examiner: (not assigned)

Group Art Unit: 3738

Case No.: 5259-10800US01

CERTIFICATE OF MAILING (37 CFR 1.8 (a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class

mail in an envelope addressed to the:

Mail Stop Amendment Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450, on:

TRANSMITTAL LETTER

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is an Information Disclosure Statement for Michael E. Landry and Larry T. Khoo, for SPINAL STABILIZATION SYSTEM USING FLEXIBLE MEMBERS, the specification of which was filed on October 30, 2003, and received Serial No. 10/698,046.

Also enclosed are:

Form PTO 1449 (in duplicate) w/eight (8) references

Return-receipt postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.16, as well as any patent application processing fees under 37 CFR 1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

> Respectfully submitted, M. E. Landry and L. T. Khoo

ABBOTT LABORATORIES

Customer Number: 23492 Telephone: (847) 938-7576

Facsimile: (847) 938-2623

Beth A. Vrioni

Registration No. 39,869 Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ant: Michael E. Landry and Larry T. Khoo

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Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450, on:

Karkleen Brettoker 2/11

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR § 1.97(b).

The Applicants submit herewith Form PTO 1449 listing the references cited for this Information Disclosure Statement.

The Applicants respectfully request that the Examiner initial next to each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application and that a copy of the initialed Form PTO 1449 be returned to Applicants.

No charge is required for the submission of this Information Disclosure Statement under 37 C.F.R. § 1.97 (b). The Commissioner is hereby authorized to charge any additional filing fees required under 37 C.F.R. § 1.17 concerning this transmission, or to credit any overpayment to Deposit Account No. 01-0025.

Respectfully submitted, M. E. Landry and L. T. Khoo

Beth A. Vrioni

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Customer Number: 23492 Telephone: (847) 938-7576

Facsimile: (847) 938-2623

DATE:

February 1, 2006

SHEET 1 of 1

Form PTO - 1449 (Modified)

(37 CFR 1.98 (b))

FORMETTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

5259-10800US01

10/698,046

APPLICANT

M. E. Landry & L. T. Khoo

FILING DATE

GROUP

October 30, 2003

3738

U.S.PATENT DOCUMENTS

EXAM.			ISSUE			SUB	FILING
INITIAL		PATENT NUMBER	DATE	PATENTEE	CLASS	CLASS	DATE
	Al	5,647,873	07/15/1997	Errico, et al.			
	A2	5,817,094	10/06/1998	Errico, et al.			
	A3	6,183,472	02/06/2001	Lutz			
	A4	6,331,179	12/18/2001	Freid, et al.			
	A5	6,520,907	02/18/2003	Foley, et al.		l	
	A6	6,530,929	03/11/2003	Justis, et al.			
	A7	6,599,290	07/29/2003	Bailey, et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM. INITIAL		DOCUMENT NUMBER	PUBLI- CATION	COUNTRY OR		SUB	TRANS	LATION
			DATE	PATENT OFFICE	CLASS	CLASS	YES	NO
	Bl	298 10 798	28.10.1999	DE				
	B2	1 190 678	27.03.2002	EP				
	B3	2 729 291	19.07.1996	FR				
	B4	2 796 545	26.01.2001	FR				

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

EXAM. INITIAL		
	Cl	US 2001/0001119, Lombardo, "Surgical Screw System and Related Methods", (May 10, 2001)
***	C2	"Endoscopic Posterolateral Fusion", Atavi Atraumatic Spine Fusion System, Endius, (2001), 18 pgs.
	C3	"CD Horizon Sextant Rod Insertion System Surgical Technique", <i>Medtronic Sofamor Danek</i> , as described by Kevin T. Foley, M.D., (2002), 30 pgs.
	C4	"Versalok TM Low Back Fixation System", Wright Medical Technology., (1966), 4 pgs.
EXAMINEI	 R	DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)